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& COPYRIGHT COUNSEL

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B D

March 2, 1999

Box Issue Fees  
Assistant Commissioner for Patents  
Washington, DC 20231

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04

SUBJECT:      Applicant:      Richardson et al.  
                 Serial No:      08/925,208  
                 Filed:      September 8, 1997  
                 Batch No:      M98  
                 Date Mailed:      December 21, 1998  
                 For:      BROADBAND LASER SOURCE  
                 Examiner:      Davie  
                 Group:      2874  
                 Docket No:      GJ-195J

Dear Sir:

Enclosed are the Form PTOL-85 and a check in the amount of \$635.00, including \$605.00 for the Issue Fee and \$30.00 for ten (10) copies of the issued patent.

Please note the status of this applicant to be a small entity. We have enclosed a copy of the Verified Statement Claiming Small Entity Status originally submitted to the U.S. Patent Trademark Office on March 17, 1998 stamped as being received on March 20, 1998 and a copy of the returned postcard. Also enclosed is a copy of the priority document.

If at any time it appears that a telephone conference with counsel would help advance prosecution, please telephone the undersigned or his associate, Joseph S. Iandiorio, collect in Waltham, Massachusetts, (781) 890-5678.

If any payment during prosecution is found to be incorrect, please charge any deficiency or credit any overpayment to my Deposit Account No. 09-0002. A copy of this letter is enclosed for use by the Finance Branch in the event that it is necessary to make any charge or credit to my deposit account.

In addition, pursuant to Rule 1.136(a)(3), the Office is hereby authorized to treat any future reply requiring an extension of time as incorporating a request therefor. Also, any request or Petition for an Extension of Time notwithstanding an inadvertent reference in the Petition to a shorter period of time is to be treated as requesting the appropriate length of time.

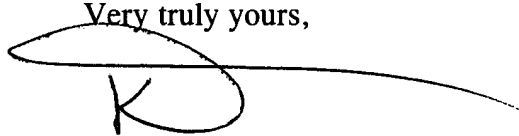
Assistant Commissioner for Patents

March 2, 1999

Page 2

Kindly acknowledge receipt of the foregoing by returning the enclosed self-addressed postcard.

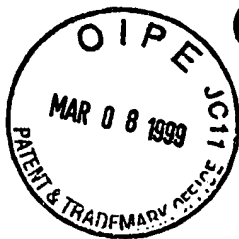
Very truly yours,

A handwritten signature in black ink, consisting of a large, stylized 'K' followed by a horizontal line that extends to the right.

Kirk Teska

EF:lr

Enclosures



PATENT, TRADEMARK  
& COPYRIGHT COUNSEL

## IANDIORIO & TESKA

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March 2, 1999

Official Draftsman  
Assistant Commissioner for Patents  
Washington, DC 20231

SUBJECT:	Applicant:	Richardson et al.
	Serial No:	08/925,208
	Filed:	September 8, 1997
	For:	BROADBAND LASER SOURCE
	Batch No:	M98
	Date Mailed:	December 21, 1998
	Examiner:	Davie
	Group:	2874
	Docket No:	GJ-195J

Dear Sir:

In Response to the Notice of Allowability mailed December 21, 1998 enclosed are 14 (fourteen) sheets of formal drawings as required.

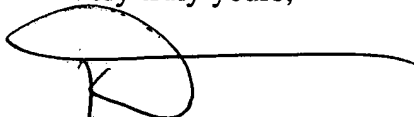
If at any time it appears that a telephone conference with counsel would help to advance prosecution, please telephone the undersigned or his associate, Joseph S. Iandiorio, collect in Waltham, Massachusetts, (781) 890-5678.

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Assistant Commissioner for Patents  
March 2, 1999  
Page 2

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Very truly yours,

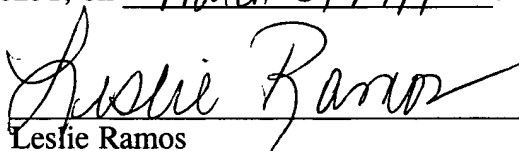
A handwritten signature in black ink, consisting of a large, stylized capital 'K' followed by a horizontal line extending to the right.

Kirk Teska

EF:lr  
Enclosure

CERTIFICATE OF MAILING

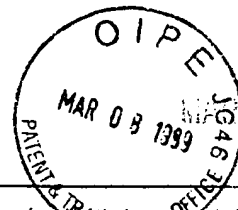
I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first-class mail in an envelope addressed to: Official Draftsman, Assistant Commissioner for Patents, Washington, DC 20231, on March 3, 1999.

A handwritten signature in black ink, appearing to read 'Leslie Ramos' in a cursive script, followed by a horizontal line.

Leslie Ramos

## PART B—ISSUE FEE TRANSMITTAL

Complete and mail this form, together with applicable fees, to: **Box ISSUE FEE**  
**Assistant Commissioner for Patents**  
**Washington, D.C. 20231**



**MAILING INSTRUCTIONS:** This form should be used for transmitting the ISSUE FEE. Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Issue Fee Receipt, the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1)

IAN DIORIO AND TESKA  
 260 BEAR HILL ROAD  
 WALTHAM MA 02154

MM11/1221

Note: The certificate of mailing may only be used for domestic mailings of the Issue Fee Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing.

## Certificate of Mailing

I hereby certify that this Issue Fee Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Box Issue Fee address above on the date indicated below.

Leslie Ramos

(Depositor's name)

*Leslie Ramos*

(Signature)

3/3/99

(Date)

APPLICATION NO.	FILING DATE	TOTAL CLAIMS	EXAMINER AND GROUP ART UNIT	DATE MAILED
08/925,208	09/08/97	010	DAVIE, J	2874 12/21/98
First Named Applicant	RICHARDSON, 35 USC 154(b) term ext. = 0 Days.			

TITLE OF INVENTION BROADBAND LASER SOURCE

ATTY'S DOCKET NO.	CLASS-SUBCLASS	BATCH NO.	APPLN. TYPE	SMALL ENTITY	FEE DUE	DATE DUE
2 GJ-195J	372-020.000	M98	UTILITY	NO	\$1210.00	03/22/99

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). Use of PTO form(s) and Customer Number are recommended, but not required.

☐ Charge of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47) attached.

2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Iandiorio & Teska

2 \_\_\_\_\_

3 \_\_\_\_\_

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)  
**PLEASE NOTE:** Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the PTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE University of Southampton

(B) RESIDENCE: (CITY & STATE OR COUNTRY) United Kingdom, S017 1BJ

Please check the appropriate assignee category indicated below (will not be printed on the patent)

☐ individual ☒ corporation or other private group entity ☐ government

4a. The following fees are enclosed (make check payable to Commissioner of Patents and Trademarks):

☒ Issue Fee

☒ Advance Order - # of Copies 10

4b. The following fees or deficiency in these fees should be charged to:

DEPOSIT ACCOUNT NUMBER 09-0002  
 (ENCLOSE AN EXTRA COPY OF THIS FORM)

☒ Issue Fee

☒ Advance Order - # of Copies 10

The COMMISSIONER OF PATENTS AND TRADEMARKS IS requested to apply the Issue Fee to the application identified above.

(Authorized Signature)

*[Signature]*

(Date)

3-3-99

NOTE: The Issue Fee will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the Patent and Trademark Office.

**Burden Hour Statement:** This form is estimated to take 0.2 hours to complete. Time will vary depending on the needs of the individual case. Any comments on the amount of time required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND FEES AND THIS FORM TO: Box Issue Fee, Assistant Commissioner for Patents, Washington D.C. 20231

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03/09/1999 SLUANG3 00000062 08925208

01 FC:242

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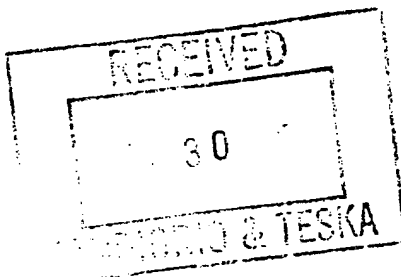
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TRANSMIT THIS FORM WITH FEE



The Patent Office date stamp sets forth the date of receipt of:

Applicant: Richardson et al.

No. (Application, Registration, Serial): 08/925,208

Title: A BROADBAND LASER SOURCE

Docket No: GJ-195J     Atty's initials: EF:lr

Enclosed:

Cover letter and copy;  
Combined Declaration and Power of Attorney;  
Verified Statement Claiming Small Entity; and  
Check for \$65.00.

